

1 **Climate Sensitivity Estimated From Temperature Reconstructions**  
2 **of the Last Glacial Maximum**

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21 *Assessing impacts of future anthropogenic carbon emissions is currently impeded by*  
22 *uncertainties in our knowledge of equilibrium climate sensitivity to atmospheric carbon*  
23 *dioxide doubling. Previous studies suggest 3 K as best estimate, 2–4.5 K as the 66% probability*  
24 *range, and non-zero probabilities for much higher values, the latter implying a small but*  
25 *significant chance of high-impact climate changes that would be difficult to avoid. Here,*  
26 *combining extensive sea and land surface temperature reconstructions from the Last Glacial*  
27 *Maximum with climate model simulations we estimate a lower median (2.3 K) and reduced*  
28 *uncertainty (1.7–2.6 K 66% probability). Assuming paleoclimatic constraints apply to the*  
29 *future as predicted by our model, these results imply lower probability of imminent extreme*  
30 *climatic change than previously thought.*

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32 Climate sensitivity is the change in global mean surface air temperature  $\Delta SAT$  caused by  
33 an arbitrary perturbation  $\Delta F$  (radiative forcing) of Earth's radiative balance at the top of the  
34 atmosphere with respect to a given reference state. The equilibrium climate sensitivity for a  
35 doubling of atmospheric carbon dioxide ( $CO_2$ ) concentrations ( $ECS_{2xC}$ ) from preindustrial times  
36 has been established as a well-defined standard measure (1). Moreover, because transient  
37 (disequilibrium) climate change and impacts on ecological and social systems typically scale  
38 with  $ECS_{2xC}$  it is a useful and important diagnostic in climate modeling (1). Initial estimates of  
39  $ECS_{2xC} = 3 \pm 1.5$  K suggested a large uncertainty (2), which has not been reduced in the last 32  
40 years despite considerable efforts (1-10). On the contrary, many recent studies suggest a small  
41 but significant possibility of very high (up to 10 K and higher) values for  $ECS_{2xC}$  (3-10) implying  
42 extreme climate changes in the near future, which would be difficult to avoid. Efforts to use  
43 observations from the last 150 years to constrain the upper end of  $ECS_{2xC}$  have met with limited

44 success, largely because of uncertainties associated with aerosol forcing and ocean heat uptake  
45 (8, 9). Data from the Last Glacial Maximum (LGM, 19-23,000 years ago) are particularly useful  
46 to estimate  $ECS_{2xC}$  because large differences from pre-industrial climate and much lower  
47 atmospheric CO<sub>2</sub> concentrations (185 ppm versus 280 ppm pre-industrial) provide a favorable  
48 signal-to-noise ratio, both radiative forcings and surface temperatures are relatively well  
49 constrained from extensive paleoclimate reconstructions and modeling (11-13), and climate  
50 during the LGM was close to equilibrium, avoiding uncertainties associated with transient ocean  
51 heat uptake.

52 Here we combine a climate model of intermediate complexity with new syntheses of  
53 temperature reconstructions from the LGM to estimate  $ECS_{2xC}$  using a Bayesian statistical  
54 approach. LGM, 2×CO<sub>2</sub> and pre-industrial control simulations are integrated for 2000 years  
55 using an ensemble of 47 versions of the University of Victoria (UVic) climate model (14) with  
56 different climate sensitivities ranging from  $ECS_{2xC} = 0.3$  to 8.3 K considering uncertainties in  
57 water vapor, lapse rate and cloud feedbacks on the outgoing infrared radiation (Fig. S1), as well  
58 as uncertainties in dust forcing and wind stress response. The LGM simulations include larger  
59 continental ice sheets, lower greenhouse gas concentrations, higher atmospheric dust levels (Fig.  
60 S2) and changes in the seasonal distribution of solar radiation (see SOM). We combine recent  
61 syntheses of global sea surface temperatures (SSTs) from the Multiproxy Approach for the  
62 Reconstruction of the Glacial Ocean (MARGO) project (12) and surface air temperatures over  
63 land based on pollen evidence (13), with additional data from ice sheets, land and ocean  
64 temperatures (28) (SOM; all reconstructions include error estimates Fig. S3). The combined  
65 dataset covers over 26% of Earth's surface (Fig. 1, top panel).

66 Figure 2 compares reconstructed zonally averaged surface temperatures with model

67 results. Models with  $ECS_{2xC} < 1.3$  K underestimate the cooling at the LGM almost everywhere,  
68 particularly at mid latitudes and over Antarctica, whereas models with  $ECS_{2xC} > 4.5$  K  
69 overestimate the cooling almost everywhere, particularly at low latitudes. High sensitivity  
70 models ( $ECS_{2xC} > 6.3$  K) show a runaway effect resulting in a completely ice-covered planet.  
71 Once snow and ice cover reach a critical latitude, the positive ice-albedo feedback is larger than  
72 the negative feedback due to reduced longwave radiation (Planck feedback), triggering an  
73 irreversible transition (Fig. S4) (15). During the LGM Earth was covered by more ice and snow  
74 than it is today, but continental ice sheets did not extend equatorward of  $\sim 40^\circ$ N/S, and the tropics  
75 and subtropics were ice free except at high altitudes. Our model thus suggests that large climate  
76 sensitivities ( $ECS_{2xC} > 6$  K) cannot be reconciled with paleoclimatic and geologic evidence, and  
77 hence should be assigned near-zero probability.

78 Posterior probability density functions (PDFs) of the climate sensitivity are calculated by  
79 Bayesian inference, using the likelihood of the observations  $\Delta T_{obs}$  given the model  
80  $\Delta T_{mod}(ECS_{2xC})$  at the locations of the observations. The two are assumed to be related by an error  
81 term  $\varepsilon$ ,  $\Delta T_{obs} = \Delta T_{mod}(ECS_{2xC}) + \varepsilon$ , which represents errors in both the model (endogenously  
82 estimated separately for land and ocean) and the observations (Fig. S3), including spatial  
83 autocorrelation. A Gaussian likelihood function and an autocorrelation length scale of  $\lambda = 2000$   
84 km are assumed. The choice of the autocorrelation length scale is motivated by the model  
85 resolution and by residual analysis. See sections 5 and 6 in the SOM for a full description of the  
86 statistical method, assumptions, and sensitivity tests.

87 The resulting PDF (Fig. 3), considering both land and ocean reconstructions, is multi-  
88 modal and displays a broad maximum with a double peak between 2 and 2.6 K, smaller local

89 maxima around 2.8 K and 1.3 K and vanishing probabilities below 1 K and above 3.2 K. The  
90 distribution has its mean and median at 2.2 K and 2.3 K, respectively and its 66% and 90%  
91 cumulative probability intervals are 1.7–2.6 K, and 1.4–2.8 K, respectively. Using only ocean  
92 data the PDF changes little, shifting towards slightly lower values (mean 2.1 K, median 2.2 K,  
93 66% 1.5 – 2.5 K, 90% 1.3 – 2.7 K), whereas using only land data leads to a much larger shift  
94 towards higher values (mean and median 3.4 K, 60% 2.8 – 4.1 K, 90% 2.2 – 4.6 K).

95         The best-fitting model ( $ECS_{2xC} = 2.4$  K) reproduces well the reconstructed global mean  
96 cooling of 2.2 K (within two significant digits), as well as much of the meridional pattern of the  
97 zonally averaged temperature anomalies (correlation coefficient  $r = 0.8$ ; Fig. 2). Significant  
98 discrepancies occur over Antarctica, where the model underestimates the observed cooling by  
99 almost 4 K, and between 45-50° in both hemispheres, where the model is also too warm.  
100 Simulated temperature changes over Antarctica show considerable spatial variations (Fig. 1),  
101 with larger cooling of more than 7 K over the West Antarctic Ice Sheet. The observations are  
102 located along a strong meridional gradient (Fig. S7). Zonally averaged cooling of air  
103 temperatures is about 7 K at 80°S, more consistent with the reconstructions than the simulated  
104 temperature change at the locations of the observations. Underestimated ice sheet height at these  
105 locations could be a reason for the bias (16), as could be deficiencies of the simple energy  
106 balance atmospheric model component, which may also be the reason for the large biases in the  
107 simulation of present day temperatures over Antarctica (not shown). Underestimated cooling at  
108 mid-latitudes for the best fitting model also points to systematic model problems, such as the  
109 neglect of wind or cloud changes.

110         Two-dimensional features in the reconstructions are less well reproduced by the model ( $r$   
111 = 0.5; Fig. 1). Large-scale patterns that are qualitatively captured (Fig. 1) are stronger cooling

112 over land than over the oceans, and more cooling at mid to high latitudes (except for sea ice  
113 covered oceans), which is contrasted by less cooling in the central Pacific and over the southern  
114 hemisphere subtropical oceans. Continental cooling north of 40°N of 7.7 K predicted by the best-  
115 fitting model is consistent with the independent estimate of  $8.3 \pm 1$  K from inverse ice-sheet  
116 modeling (17).

117 Generally the model solution is much smoother than the reconstructions partly because of  
118 the simple diffusive energy balance atmospheric model component. The model does not simulate  
119 warmer surface temperatures anywhere, while the reconstructions show warming in the centers  
120 of the subtropical gyres, in parts of the northwest Pacific, Atlantic, and Alaska. It systematically  
121 underestimates cooling over land and overestimates cooling of the ocean (Fig. S8). The land-sea  
122 contrast, which is governed by less availability of water for evaporative cooling over land  
123 compared with the ocean (18), is therefore underestimated, consistent with the tension between  
124 the  $ECS_{2xC}$  inferred from ocean only versus land only data (Fig. 3). A possible reason for this  
125 bias could be overestimated sea-to-land water vapor transport in the LGM model simulations  
126 perhaps due to too high moisture diffusivities. Other model simplifications such as neglecting  
127 changes in wind velocities and clouds or errors in surface albedo changes in the dynamic  
128 vegetation model component could also contribute to the discrepancies. The ratio between land  
129 and sea temperature change in the best-fitting model is 1.2, which is lower than the modern ratio  
130 of 1.5 found in observations and modeling studies (19).

131 Despite these shortcomings, the best-fitting model is within the  $1\sigma$ -error interval of the  
132 reconstructed temperature change in three quarters (75%, mostly over the oceans) of the global  
133 surface area covered by reconstructions (Fig. S8). The model provides data constrained estimates  
134 of global mean (including grid points not covered by data) cooling of near surface air

135 temperatures  $\Delta SAT_{LGM} = -3.0$  K (60% probability range  $[-2.1, -3.3]$ , 90%  $[-1.7, -3.7]$ ) and sea  
136 surface temperatures  $\Delta SST_{LGM} = -1.7 \pm 1$  K (60%  $[-1.1, -1.8]$ , 90%  $[-0.9, -2.1]$ ) during the LGM  
137 (including an increase of marine sea and air temperatures of 0.3 K and 0.47 K, respectively, due  
138 to 120 m sea-level lowering; otherwise  $\Delta SAT_{LGM} = -3.3$  K,  $\Delta SST_{LGM} = -2.0$  K).

139 The ranges of 66% and 90% cumulative probability intervals as well as the mean and  
140 median  $ECS_{2xC}$  values from our study are considerably lower than previous estimates. The most  
141 recent assessment report from the Intergovernmental Panel on Climate Change (6), for example,  
142 used a most likely value of 3.0 K and a likely range (66% probability) of 2–4.5 K, which was  
143 supported by other recent studies (1, 20–23).

144 We propose three possible reasons why our study yields lower estimates of  $ECS_{2xC}$  than  
145 previous work that also used LGM data. Firstly, the new reconstructions of LGM surface  
146 temperatures show less cooling than previous studies. Our best estimates for global mean  
147 (including grid points not covered by data) SAT and SST changes reported above are 30–40%  
148 smaller than previous estimates (21, 23). This is consistent with less cooling of tropical SSTs (–  
149 1.5 K, 30°S–30°N) in the new reconstruction (12) compared with previous datasets (–2.7 K)  
150 (24). Tropical Atlantic SSTs between 20°S–20°N are estimated to be only 2.4 K colder during  
151 the LGM in the new reconstruction compared to 3 K used in (23), explaining most of the  
152 difference between their higher estimates of  $ECS_{2xC}$  and  $\Delta T_{LGM}$  (–5.9 K vs –3.5 K).

153 The second reason is limited spatial data coverage. A sensitivity test excluding data from  
154 the North Atlantic leads to more than 0.5 K lower  $ECS_{2xC}$  estimates (SOM section 7, Figs. S14,  
155 S15). This shows that systematic biases can result from ignoring data outside selected regions as  
156 done in previous studies (22, 23) and implies that global data coverage is important for

157 estimating  $ECS_{2xC}$ . Averaging over all grid points in our model leads to a higher global mean  
158 temperature (SST over ocean, SAT over land) change ( $-2.6$  K) than using only grid points where  
159 paleo data are available ( $-2.2$  K), suggesting that the existing dataset is still spatially biased  
160 towards low latitudes and/or oceans. Increased spatial coverage of climate reconstructions is  
161 therefore necessary in order to improve  $ECS_{2xC}$  estimates.

162 A third reason may be the neglect of dust radiative forcing in some previous LGM studies  
163 (21) despite ample evidence from the paleoenvironmental record that dust levels were much  
164 higher (25, 26). Sensitivity tests (Fig. 3, SOM section 7) show that dust forcing decreases the  
165 median  $ECS_{2xC}$  by about 0.3 K.

166 Our estimated  $ECS_{2xC}$  uncertainty interval is rather narrow,  $< 1.5$  K for the 90%  
167 probability range, with most ( $\sim 75\%$ ) of the probability mass between 2 and 3 K, which arises  
168 mostly from the SST constraint. This sharpness may imply that LGM SSTs are a strong physical  
169 constraint on  $ECS_{2xC}$ . However, it could also be attributable to overconfidence arising from  
170 physical uncertainties not considered here, or from mis-specification of the statistical model.

171 To explore this, we conduct sensitivity experiments that perturb various physical and  
172 statistical assumptions (Figs. 3, S14, S15). The experiments collectively favor sensitivities  
173 between 1 and 3 K. However, we cannot exclude the possibility that the analysis is sensitive to  
174 uncertainties or statistical assumptions not considered here, and the underestimated land/sea  
175 contrast in the model, which leads to the difference between land and ocean based estimates of  
176  $ECS_{2xC}$ , remains an important caveat.

177 Our uncertainty analysis is not complete and does not explicitly consider uncertainties in  
178 radiative forcing due to ice sheet extent or different vegetation distributions. Our limited model  
179 ensemble does not scan the full parameter range, neglecting, for example, possible variations in



180 shortwave radiation due to clouds. Non-linear cloud feedbacks in different complex models  
181 make the relation between LGM and 2×CO<sub>2</sub> derived climate sensitivity more ambiguous than  
182 apparent in our simplified model ensemble (27). More work, in which these and other  
183 uncertainties are considered, will be required for a more complete assessment.

184 In summary, using a spatially extensive network of paleoclimate observations in  
185 combination with a climate model we find that climate sensitivities larger than 6 K are  
186 implausible, and that both the most likely value and the uncertainty range are smaller than  
187 previously thought. This demonstrates that paleoclimate data provide efficient constraints to  
188 reduce the uncertainty of future climate projections.

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228  
229 28. Data are available at the National Climatic Data Center at NOAA or at  
230 <http://mgg.coas.oregonstate.edu/~andreas/data/schmittner11sci/index.html>.  
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234 significant improvements of the paper.

235

235 **Figure Captions:**

236 Figure 1. Annual mean surface temperature (sea surface temperature over oceans and near  
237 surface air temperature over land) change between the LGM and modern. Top: Reconstructions  
238 of sea surface temperatures from multiple proxies (*I2*), surface air temperatures over land from  
239 pollen (*I3*) and additional data (SOM). Bottom: Best-fitting model simulation ( $ECS_{2xC} = 2.4$  K).

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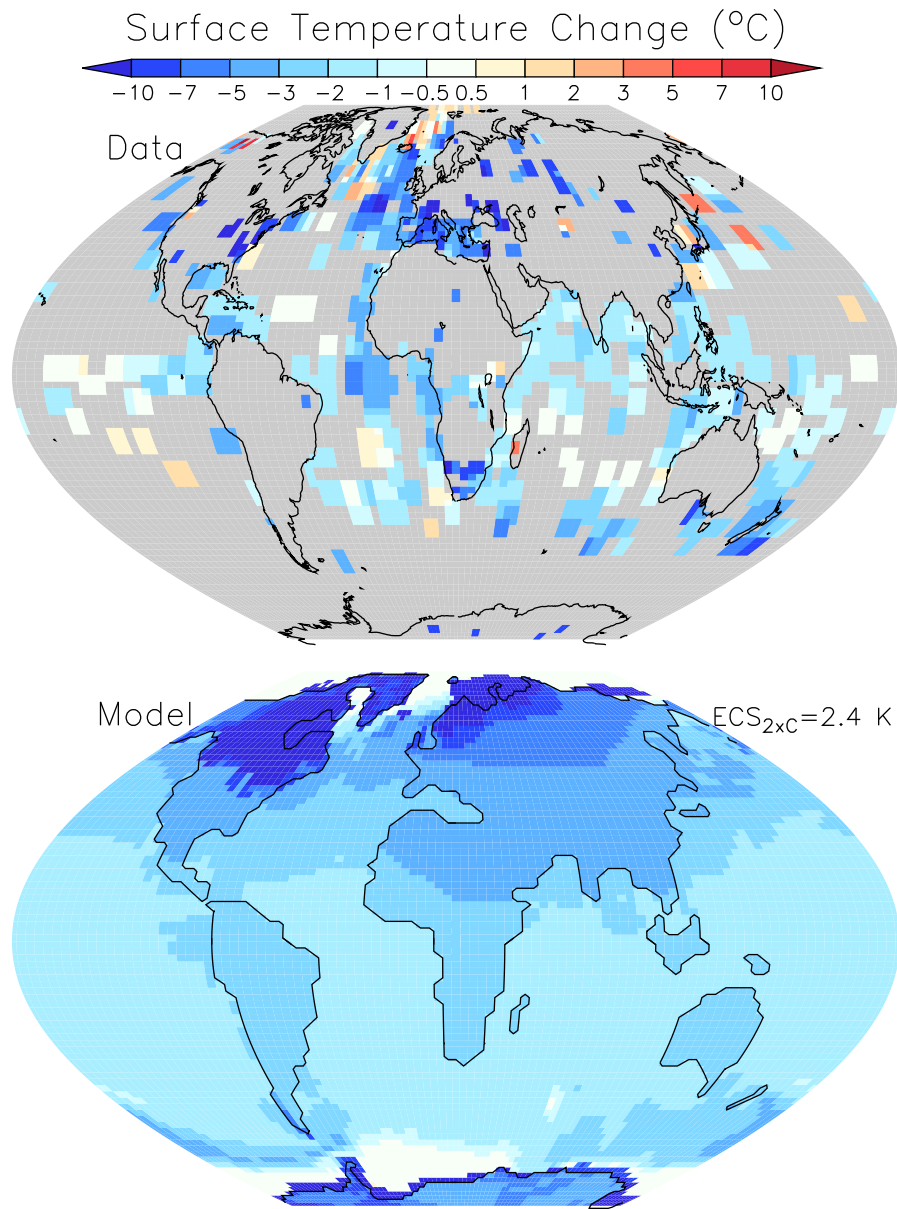
241 Figure 2. Zonally averaged surface temperature change between the LGM and modern. The  
242 black thick line denotes the climate reconstructions and grey shading the  $\pm 1, 2,$  and  $3$  K intervals  
243 around the observations. Modeled temperatures, averaged using only cells with reconstructions  
244 (see Fig. 1), are shown as colored lines labeled with the corresponding  $ECS_{2xC}$  values.

245

246 Figure 3. Marginal posterior probability distributions for  $ECS_{2xC}$ . Upper: estimated from land  
247 and ocean, land only, and ocean only temperature reconstructions using the standard assumptions  
248 ( $1 \times$  dust,  $0 \times$  wind stress,  $1 \times$  sea level correction of  $\Delta SST_{SL} = 0.32$  K, see SOM). Lower:  
249 estimated under alternate assumptions about dust forcing, wind stress, and  $\Delta SST_{SL}$  using land  
250 and ocean data.

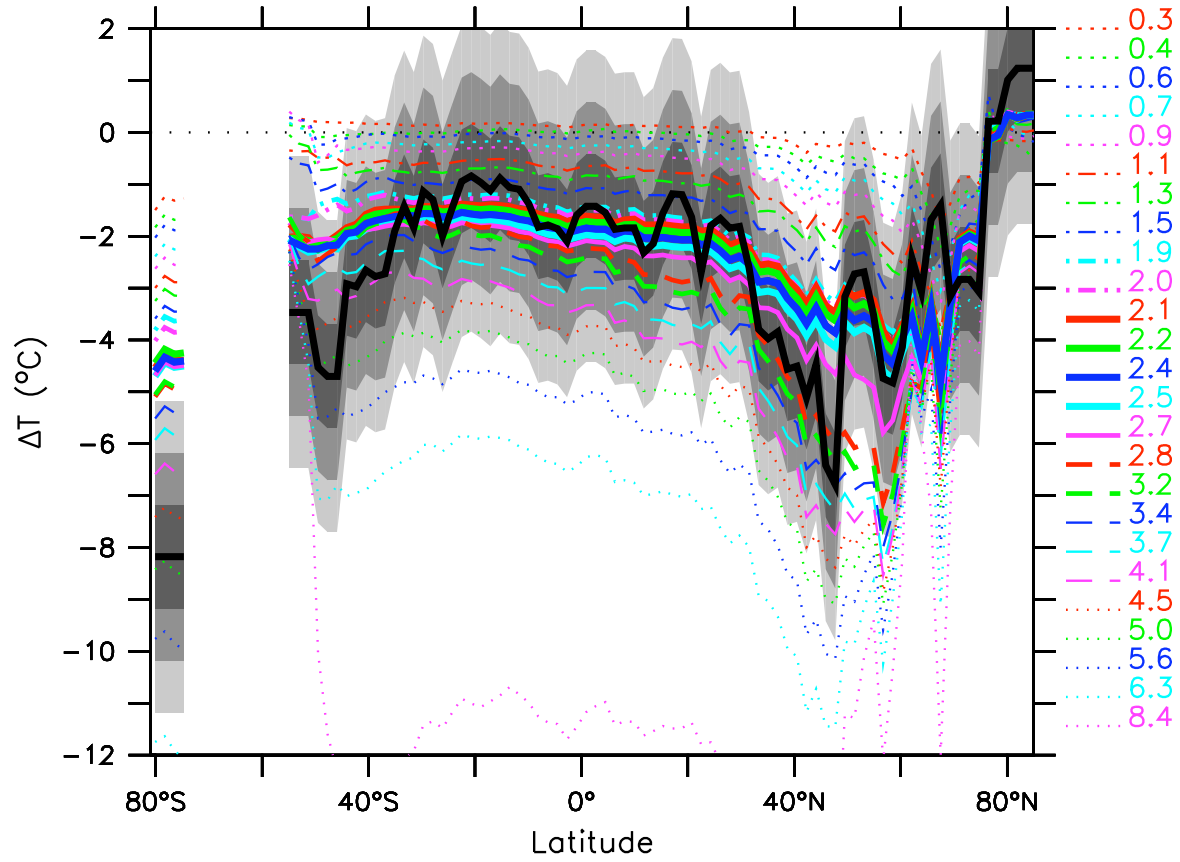
251

252 **Figures**



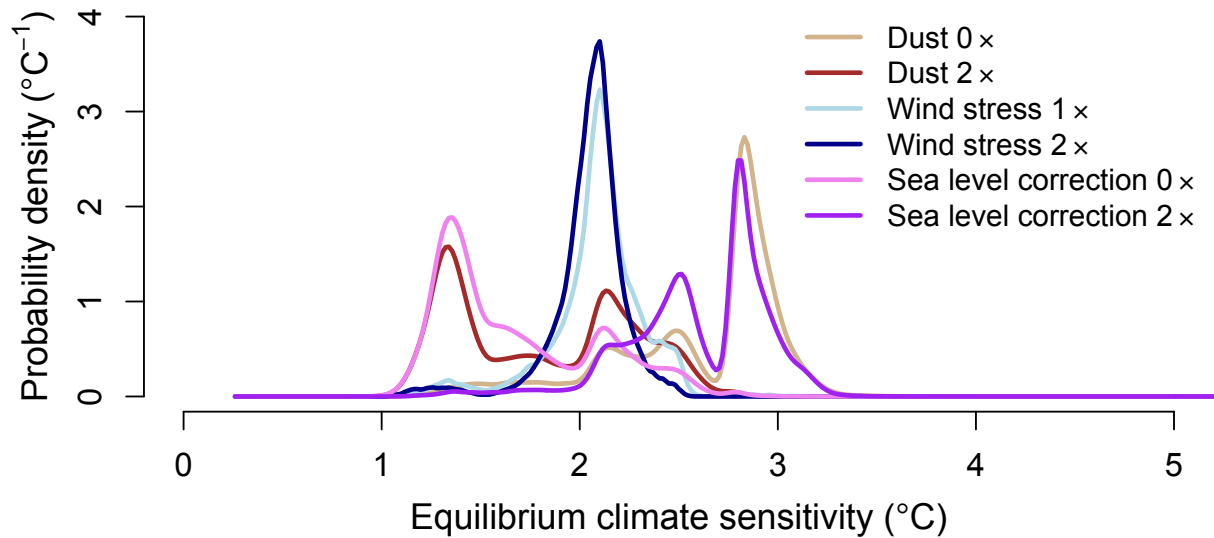
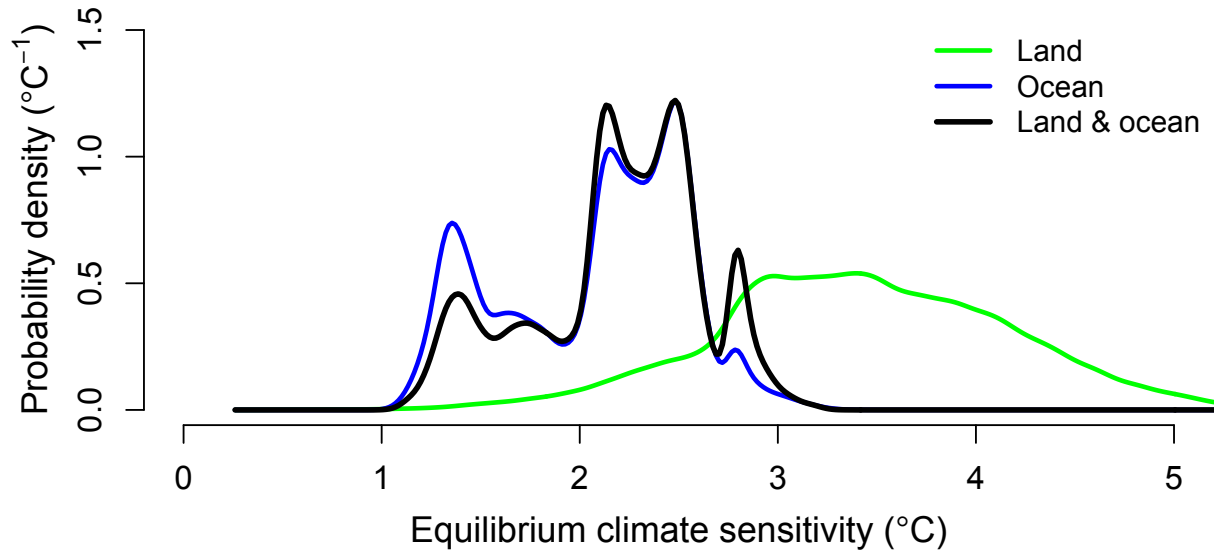
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254 Figure 3. Annual mean surface temperature (sea surface temperature over oceans and near  
 255 surface air temperature over land) change between the LGM and modern. Top: Reconstructions  
 256 of sea surface temperatures from multiple proxies (12), surface air temperatures over land from  
 257 pollen (13) and additional data (SOM). Bottom: Best-fitting model simulation (ECS<sub>2xC</sub> = 2.4 K).



258

259 Figure 4. Zonally averaged surface temperature change between the LGM and modern. The  
 260 black thick line denotes the climate reconstructions and grey shading the  $\pm 1$ , 2, and 3 K intervals  
 261 around the observations. Modeled temperatures, averaged using only cells with reconstructions  
 262 (see Fig. 1), are shown as colored lines labeled with the corresponding  $ECS_{2xC}$  values.



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 264 Figure 3. Marginal posterior probability distributions for  $ECS_{2xC}$ . Upper: estimated from land  
 265 and ocean, land only, and ocean only temperature reconstructions using the standard assumptions  
 266 ( $1 \times$  dust,  $0 \times$  wind stress,  $1 \times$  sea level correction of  $\Delta SST_{SL} = 0.32$  K, see SOM). Lower:  
 267 estimated under alternate assumptions about dust forcing, wind stress, and  $\Delta SST_{SL}$  using land  
 268 and ocean data.

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